TEW

Docket No. CRD0808DIV3

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Boris Shkolnik

Serial No.: 10/726,807 Art Unit: Unknown

Filed: December 3, 2003 Examiner: Unknown

For : Inflatable Balloon Catheter Seal And Method

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 2, 2004
(Date of Deposit)

Henry W. Collins
(Name of applicant, assignee, or Registered Representative)

June 2, 2004

(Date of Signature)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information  $\boxtimes$ Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under \$1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under \$1.114, no additional fee is required. In accordance with \$1.129(a), this Information Disclosure Statement is being filed in connection with  $\square$  the first or ☐second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or П Please charge Deposit Account No. 10the fee of \$180.00 as set forth in \$1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in \$1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of: Statement in Accordance with §1.97(e) (attached); or

	Please charge Deposit Account No. 10-
	0750/ / the fee of $$180.00$ as set forth
	in §1.17(p).
☐ In ac	ccordance with §1.97(d), this Information
Disclosure Stat	ement is being filed after the mailing date of
either a Final	Action under §1.113 or a Notice of Allowance
under §1.311 k	out before the payment of the Issue Fee.
Applicant(s) he	ereby petition(s) for consideration of this
Information Dis	sclosure Statement. Included are: Statement in
Accordance with	n §1.97(e) as set forth below and the fee of
\$180.00 as set	forth in §1.17(p).
	es of each of the references listed on the PTO-1449 are enclosed herewith.
□ Conic	es of references listed on the attached Form PTO
	sed herewith EXCEPT THAT:
	ed herewren bhobri imir.
П	In view of the voluminous nature of references
_	[list as appropriate], and the likelihood that
	these references are available to the Examiner,
	copies are not enclosed herewith.
	If any of the foregoing publications are not
	available to the Examiner, Applicant will
	endeavor to supply copies at the Examiner's
	request.
	es of only foreign patent documents and non-
	are are enclosed in accordance with 37 CFR 1.98
(a)(2). (The U	U.S. patents and each U.S. patent application

publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the

•	
	national stage under 35 USC §371 after June 30, 2003 (see USPTO
	waiver of requirement under 37 CFR 1.98 (a)(2)(i).
	There are no listed references which are not in the
	English language.
	igties The relevance of those listed references which are
	not in the English language is as follows:
	Concerning Dutch Patent Application 1008178, see
	English language Abstract attached to the patent application.
	Attached are copies of search report(s) from
	corresponding patent application(s), which are listed on the
	attached Submission Under MPEP 609 D.
	Attached are the following non-published pending
	patent applications which may be deemed relevant, which are
	listed on the attached Submission Under MPEP 609 D.
	Please charge any deficiency or credit any overpayment to
	Deposit Account No. 10-0750/CRD0808DIV3/HWC. This form is
	submitted in triplicate.
	Respectfully submitted,
	Respectfully Submitted,
	Man ( Ca
	Henry W. Collins
	Reg. No. 25,039
,	Attorney for Applicant
	Johnson & Johnson One Johnson & Johnson Plaza
	New Brunswick, NJ 08933-7003
	(786) 313-2707
	DATED: June 2, 2004

JUN 0 1 7004 EE

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

D. a. collection of information unless it disclosure.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Substitute for form 1449A/PTO Application Number 10/726,807 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 3 Filing Date December 3, 2003 First Named Inventor Boris Shkolnik Group Art Unit Unknown Examiner Name Unknown CRD0808DIV3 Attorney Docket Number

U.S. PATENT DOCUMENTS

		U.S. Patent Document				
Examiner Initials	Cite			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
	l	Kin	d Code <sup>2</sup>			
		Number ( known)	îf			
		3,866,599		Curtis C. Johnson	02-18-1975	
		4,147,169		Glenn N. Taylor	04-03-1979	
		4,406,653		Chris E. Nunez	09-27-1983	
		4,702,252		Kenneth J. Brooks, et al.	10-27-1987	
		4,850,348		Donald M. Pell, et al.	07-25-1989	
		4,981,478		Philip C. Evard, et al.	01-01-1991	
		4,994,072		Anandkumar Bhate, et al.	02-19-1991	
		5,035,705		Matthew M. Burns	07-30-1991	
		5,135,486		Michael J. Eberle, et al.	03-23-1993	
		5,176,661		Philip C. Evard, et al.	01-05-1993	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup>		ent	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T⁵
		wo	00/64524		American Medical Systems, Inc.	11-02-2000		
		wo	80/01353		John Edward Hall	07-10-1980		
		EP	0366478	B1	Kanji Inoue	01-26-1994		

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office. Washington. DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)
Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO	Application Number	10/726,807
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 of 3	; ;	
	Filing Date	December 3, 2003
<u> </u>	First Named Inventor	Boris Shkolnik
	Group Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	CRD0808DIV3

U.S. PATENT DOCUMENTS

	T	U.S. Patent Document				
Examiner Initials	Cite No.¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Kir	nd Code <sup>2</sup>			
		Number known)	(if			
		5,176,698		Matthew M. Burns, et al.	01-05-1993	
		5,195,969		James C. Wang, et al.	03-23-1993	
		5,195,972		Kanji Inoue, et al.	03-23-1993	
		5,226,889		lmad Sheiban	07-13-1993	
		5,256,145		Robert Atkinson, et al.	10-26-1993	
		5,267,959		Michael R. Forman	12-07-1993	
		5,429,605		Richling Bernd, et al.	07-04-1995	
		5,514,073		Shinichi Miyata, et al.	05-07-1996	
		5,643,209		Mauricio Lintag Fugoso, et al.	07-01-1997	
		5,700,243		Hugh L. Narciso, Jr.	12-23-1997	
		5,711,754		Shinichi Miyata, et al.	01-27-1998	

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup>		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		NL	1008178	Cordis Europa N.V.	02-02-1998		

Examiner		Date	
Signature	l c	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office. Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCI

Substitute for form 1449A/PTO	Application Number	10/726,807
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 3 of 3		
0.100.000	Filing Date	December 3, 2003
	First Named Inventor	Boris Shkolnik
	Group Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	CRD0808DIV3

ILS PATENT DOCUMENTS

		·				Pages, Columns, Lines, where relevant passages or relevant figures appear
Examiner Initials	Cite No.1			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	
		Ki Number known)	nd Code <sup>2</sup> (if			
		5,728,063		Howard E. Preissman, et al.	03-17-1998	
		5,728,065		Brett A. Follmer, et al.	03-17-1998	
		5,749,849		Erik T. Engelson	05-12-1998	
		5,759,173		Howard E. Preissman, et al.	06-02-1998	
		5,850,348		Charles Berman	12-15-1998	
		5,876,376		Sharon Schwab, et al.	03-02-1999	
		6,068,634		Linda R. Lorentzen Comelius, et al.	05-30-2000	
		6,254,570	B1	Alvin B. Rutner, et al.	07-03-2001	
		6,270,504	B1	Linda R. Lorentzen Comelius, et al.	08-07-2001	
		6,375,637	B1	Carey V. Campbell, et al.	04-23-2002	
		6,517,548	B2	Linda R. Lorentzen Comelius, et al.	02-11-2003	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup>		 ne of Patentee or nt of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T⁵

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.